

Dominican Republic

Ministry of Economy, Planning and Development (MEPYD)

SPF: Dominican Republic Multisectoral Response to Human Mobility (P179751)

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

-Negotiated-

February 24, 2023

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

1. The Dominican Republic (hereinafter, the **Recipient**) will cause the National Migration Institute (INM) to implement the "SPF: Dominican Republic Multisectoral Response to Human Mobility" (the **Project**), with the participation of the Ministry of Economy, Planning and Development (MEPYD, in its Spanish acronym) and with the collaboration of the General Directorate of Migration (DGM, in its Spanish acronym), the National Statistics Office (ONE, in its Spanish acronym), the Ministry of Women (MM, Spanish acronym), the Directorate of Strategy and Government Communication (DIECOM, in its Spanish acronym), the Single System of Beneficiaries (SIUBEN, in its Spanish acronym), and the Supérate Program, as Participating Institutions, as mentioned in the Grant Agreement. The International Bank for Reconstruction and Development (hereinafter, the **Bank**) has agreed to provide financing for the Project, as set out in the referred agreement.

2. The Recipient shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESS) and this Environmental and Social Engagement Plan (ESCP), in a manner acceptable to the Bank. The ESCP is part of the Grant Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement.

3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Recipient shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring, and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the Bank. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the Bank.

4. As agreed between the Bank and the Recipient, this ESCP will be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Recipient and the Bank, agree to update the ESCP to reflect these changes through an exchange of letters signed between the Bank and the Recipient, through the Minister, of the Ministry of Economy, Planning and Development. The Recipient shall promptly disclose the updated ESCP.

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP): SPF: Dominican Republic multisectoral response to human mobility (P179751)

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
MONITORING AND REPORTING			
A	<p>REGULAR REPORTING</p> <p>Prepare and submit to the Bank regular monitoring reports on the environmental, social, health, and safety (ESHS) performance of the Project, including, but not limited to, the implementation of the ESCP, the status of preparation and implementation of E&S instruments required under the ESCP, stakeholder engagement activities, and functioning of the grievance redress mechanism.</p>	<p>Submit consolidated semiannual reports throughout Project implementation, starting from the Project Effective Date. Submit each report to the Bank no later than forty-five (45) days after the end of each reporting period together with the project progress report.</p>	<p>INM with the oversight of MEPyD</p>
B.	<p>NOTIFICATION OF INCIDENTS AND ACCIDENTS</p> <p>Promptly notify the Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including, inter alia, workplace accidents that result in serious injury or death, cases of sexual abuse, exploitation, or harassment, gender or ethnic violence, and impacts on natural habitats. Provide sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and/or supervising firm, as appropriate.</p> <p>Subsequently, at the Bank’s request, prepare a report on the incident or accident and propose any measures to prevent its recurrence. The Guide for the Recipient -“Responses to Environmental, Social, and Occupational Health and Safety Incidents for Projects Financed by the World Bank ” - shall be part of the Labor Management Procedures (LMP) and incorporated in the Project Operating Manual (POM).</p>	<p>Notify the Bank no later than 48 hours after learning of an incident or accident.</p> <p>Provide the subsequent report within a timeframe acceptable to the Bank, as requested.</p>	<p>INM with the oversight of MEPyD</p>
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	<p>ORGANIZATIONAL STRUCTURE</p> <p>Establish and maintain within the Project Implementation Unit (PIU) in the INM a team with qualified staff and resources to support management of environmental and social risks, which includes at least the following: One (1) environmental and social specialist, in charge of environmental and social management and the grievance mechanism.</p>	<p>Key staff shall be hired or assigned no later than sixty (60) days after the Effective Date. All positions shall remain staffed with persons with the appropriate profile throughout Project implementation.</p>	<p>INM with the oversight of MEPyD</p>

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP): SPF: Dominican Republic multisectoral response to human mobility (P179751)

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
1.2	<p>ENVIRONMENTAL AND SOCIAL CHECKLIST</p> <p>Prepare, consult, adopt, publish, and implement an Environmental and Social Screening Checklist (E&S Checklist).</p>	<p>The E&S Checklist shall be submitted for the Bank's no objection, adopted and published no later than ninety (90) days after the Effective Date and subsequently as many times as necessary throughout Project implementation.</p> <p>The E&S checklist shall be implemented throughout Project implementation.</p>	INM with the oversight of MEPyD
1.3	<p>PERMITS, LICENSES, CONSENTS, AND AUTHORIZATIONS</p> <p>Obtain, or cause to be obtained, as appropriate, the permits, licenses, and authorizations that are applicable to the respective Project activities from the relevant national and provincial authorities. Comply or ensure compliance with, as appropriate, the conditions set forth in these permits, consents, and authorizations throughout implementation of the Project activity.</p>	As appropriate, they shall be obtained prior to the start of the activities that require permits, consents, and authorizations.	INM with the oversight of MEPyD
1.4	<p>TECHNICAL ASSISTANCE</p> <p>Ensure that consultancies, studies, capacity building, training, and any other technical assistance activities under the Project, including those related to environmental and social instruments, are carried out in accordance with Terms of Reference acceptable to the Bank, which are consistent with the ESSs, the E&S Screening Checklist, and the Labor Management Procedures (LMP) of the Project. All information and data generated, registered, and managed within the scope of the project shall be protected by adequate information security procedures and protocols.</p>	Throughout Project implementation	INM with the oversight of MEPyD
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	<p>LABOR MANAGEMENT PROCEDURES</p> <p>Prepare, adopt, publish, and implement Labor Management Procedures (LMP), including, inter alia, adequate occupational health and safety measures (including emergency preparedness and response measures), grievance arrangements for Project workers, a Code of Conduct for workers and other labor-related requirements that will be incorporated into the Terms of Reference and Contracts for the TA.</p>	<p>The LMP shall be submitted for the Bank's no objection, adopted and published no later than ninety (90) days after the Effective Date.</p> <p>Once approved, the LMP shall be implemented throughout Project implementation.</p>	INM with the oversight of MEPyD
2.2	<p>GRIEVANCE RESDRESS MECHANISM FOR PROJECT WORKERS</p> <p>Establish, maintain, and operate a grievance redress mechanism for Project workers, as described in the LMP and consistent with ESS 2.</p>	The same timeframe as for the implementation of action 2.1.	INM with the oversight of MEPyD
2.3	<p>OCCUPATIONAL HEALTH AND SAFETY MEASURES</p> <p>Develop specific Occupational Health and Safety (OHS) measures for the Project, including emergency preparedness and response measures, and include these measures in the Project LMP.</p>	The same timeframe as for the implementation of action 2.1.	INM with the oversight of MEPyD

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP): SPF: Dominican Republic multisectoral response to human mobility (P179751)

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
2.4	<p>CODE OF CONDUCT</p> <p>a) Establish a Code of Conduct in the LMP.</p> <p>b) Establish provisions in the Terms of Reference for the TA for all consultants to sign a Code of Conduct with the minimum content of this code established in the LMP.</p>	<p>a) The same timeframe as for the implementation of action 2.1.</p> <p>b) The same timeframe as for the implementation of action 1.4</p>	INM with the oversight of MEPyD
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	<p>RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</p> <p>Prepare, consult, adopt, publish, and implement an Electronic Waste Management Plan (EWMP) that includes content that is consistent with the provisions of ESS 3 and the EHS guidelines of the World Bank Group.</p>	<p>The E-Waste Management Plan shall be submitted for the Bank's no objection, adopted and published no later than ninety (90) days after the Effective Date and, subsequently, as many times as necessary throughout Project implementation.</p> <p>The E-Waste Management Plan shall be implemented throughout Project implementation and, especially, as soon as electronic waste is being generated by the Project.</p>	INM with the oversight of MEPyD
ESS 4: COMMUNITY HEALTH AND SAFETY			
4.1	<p>COMMUNITY HEALTH AND SAFETY</p> <p>Assess and identify measures and actions to manage the specific risks and impacts to the community arising from Project activities and include these measures in the LMP. These shall include, inter alia:</p> <ul style="list-style-type: none"> • Evaluation and prevention measures and referral network for cases of sexual exploitation and abuse/sexual harassment (SEA/SH). • Measures to avoid, minimize, or mitigate the risks and impacts of gender-based violence. • Preparation and implementation of a Code of Conduct. • Assessment of the risk of exposure of communities to communicable diseases, such as COVID-19. 	The same timeframe for the implementation of action 2.1.	INM with the oversight of MEPyD
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
This standard is not relevant.			
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
This standard is not relevant.			
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
This standard is not relevant.			
ESS 8: CULTURAL HERITAGE			
This standard is not relevant.			

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP): SPF: Dominican Republic multisectoral response to human mobility (P179751)

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
<p>ESS 9: FINANCIAL INTERMEDIARIES This standard is not relevant.</p>		
<p>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</p>		
<p>10.1 STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION Update, consult, publish, adopt, and implement the Stakeholder Engagement Plan (SEP) prepared for the Project, which shall include measures to, inter alia, develop differentiated strategies to ensure that the benefits of the Project reach the most vulnerable, provide stakeholders with timely, relevant, understandable, and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination, and intimidation. The updated SEP will also outline the details to operationalize the Project grievance redress mechanism (including grievances related to gender-based violence).</p>	<p>The SEP shall be updated, adopted, and disclosed no later than ninety (90) days after the Effective Date and thereafter as many times as necessary during Project implementation, subject to the Bank’s prior no objection.</p> <p>The SEP shall be implemented throughout Project implementation.</p>	<p>INM with the oversight of MEPyD</p>
<p>10.2 PROJECT GRIEVANCE REDRESS MECHANISM A) Establish, publicize, maintain, and operate an accessible grievance mechanism, to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10. The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.</p> <p>B) Include a report on the operation of the grievance redress mechanism in the semiannual reports mentioned in material measure/action A, referred to at the beginning of this ESCP (Regular Reporting), describing, at a minimum, the number and type of grievances received, the average resolution time, and other relevant data to be discussed and agreed upon with the Bank.</p>	<p>A) The same timeframe as for the implementation of action 10.1 and operational throughout Project implementation.</p> <p>B) The same timeframe as for action A (Regular Reporting) of this ESCP.</p>	<p>INM with the oversight of MEPyD</p>
<p>CAPACITY BUILDING (TRAINING)</p>		

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP): SPF: Dominican Republic multisectoral response to human mobility (P179751)

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
CS1	Develop an environmental and social training plan (The E&S Training Plan). Once approved, implement the E&S Training Plan and update it annually according to the emerging needs of the Project. The E&S Training Plan shall include the environmental and social instruments of the Project (E&S Checklist, SEP, EWMP, LMP), thematic areas to be addressed according to the roles, functions, and specific tasks to be performed, and a schedule, budget, targets, and indicators. The training shall be focused on Project workers, government officials involved in the Project, consultants, and other relevant stakeholders.	<p>The E&S Training Plan shall be submitted for the Bank's no objection no later than ninety (90) days after the Effective Date and updated annually, and its implementation shall be subject to the Bank's prior no objection.</p> <p>The Plan shall be implemented throughout the entire Project implementation period.</p>	INM with the oversight of MEPyD